

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of

John C. Harvey and James W. Cuddihy

Serial No. 08/444,788

Filed May 19, 1995

For **SIGNAL PROCESSING APPARATUS
AND METHODS**

Group Art Unit 2602

Examiner James Groody

Atty Dkt: 5634.109

TRANSMITTAL

Assistant Commissioner of Patents
Washington, D.C. 20231

- ☒ Supplemental Preliminary Amendment
- ☒ Letter re Change to Large Entity
- ☒ The fee has been calculated as shown below:

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GROUP 2601

| | (Col 1) Claims Remaining After Amendment | | (Col 2) Highest No. Previously Paid for | (Col 3) Present Extra | Rate | Additional Fee |
|---|--|-------|--|-----------------------------|----------|-------------------|
| Total | * 10 | Minus | ** 20 | = 0 | x \$ 22 | \$.00 |
| Indep. | * 3 | Minus | *** 3 | = 3 | x \$ 78 | \$.00 |
| First Presentation of Mult. Dep. Claim | | | | | x \$ 250 | \$.00 |
| Total Additional Filing Fee for Change to Large Entity | | | | | | \$ 385.00 |
| Total Fee Enclosed | | | | | | \$ 385.00 |

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest Number Previously Paid For" in this space is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" in this space is less than 3, write "3" in this space. "The Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☒ Howrey & Simon check no. 123402 in the amount of \$ 385.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following additional filing fees in connection with this communication to Deposit Account No. 08-3038. This sheet is submitted in triplicate.

- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Thomas J. Scott, Jr.
Thomas J. Scott, Jr.
Registration No. 27,836
Attorney for Applicants

Date: May 9, 1996
HOWREY & SIMON
1299 Pennsylvania Avenue, NW
Washington, D.C. 20004
Tel: (202) 783-0800
020 JJ 05/15/96 08444788

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Core Application of

Harvey and James W. Cuddihy

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Group Art Unit 2602

Serial No.: 08/444,788

GROUP 260

Examiner James Groody

Filed May 19, 1995

Atty Dkt: 5634.109

#4

For **SIGNAL PROCESSING APPARATUS AND METHODS**

CHANGE TO LARGE ENTITY STATUS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The present application has received small entity status. Upon review of (1) the claims as filed in the application as a result of the preliminary amendment and (2) the "field of use" clause in the application's assignee's license contract with a firm that is now a large entity, it has come to Applicants' attention that the present application requires large entity status. This error occurred in good faith during the press of work required for Applicants to meet the June 8, 1995 GATT application filing deadline. Please find enclosed recalculated fees for converting the present application from small to large entity status. Applicants respectfully request the records of the U.S. PTO be revised to indicate that the subject application should be considered the application of a large entity.

REMARKS

Applicants respectfully request that the additional filing fees submitted herewith be accepted and that the instant application be passed to the Examination Branch.

The Commissioner is hereby authorized to charge Deposit Account No. 08-3038 any insufficient funds in connection with the filing of the instant papers. *This sheet is submitted in triplicate.*

Respectfully submitted,

Thomas J. Scott, Jr.

Thomas J. Scott, Jr.
Registration No. 27,836
Attorney for Applicants

Date: May 9, 1996
HOWREY & SIMON
1299 Pennsylvania Avenue, NW
Washington, D.C. 20004
Tel: (202) 783-0800

fee attached